

17581 U.S. PTO  
06/27/03

27123  
PATENT TRADEMARK OFFICE

↑Affix Customer Label Here↑  
PATENT  
Docket No. 5095-4065

Express Mail Label No. EV357801165US

19704 U.S. PTO  
10/607619  
06/27/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

Applicant(s): Masaki OTA; Kenji MOCHIZUKI; Hisato KAWAMURA; Yoshinori INOUE; and Junichi TAKAHATA

Serial No.: TBA Group Art Unit: TBA

Filed: Concurrently Herewith Examiner: TBA

For: PISTON TYPE COMPRESSOR

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Masaki OTA; Kenji MOCHIZUKI; Hisato KAWAMURA; Yoshinori INOUE; and Junichi TAKAHATA

c/o: KABUSHIKI KAISHA TOYOTA JIDOSHOKKI

2-1, Toyoda-cho, Kariya-shi, Aichi-ken 448-8671, Japan

For: PISTON TYPE COMPRESSOR

Enclosed are:

[X] 17 page(s) of specification, 1 page(s) of Abstract, 6 page(s) of claims

[X] 4 sheets of drawing [X] formal (Figures 1-5) [ ] informal

[X] 5 page(s) of Declaration and Power of Attorney

[ ] Unsigned  
[X] Newly Executed  
[ ] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

- ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
- ☐ Microfiche Computer Program (Appendix)
- ☐ \_\_\_\_\_ page(s) of Sequence Listing
  - ☐ computer readable disk containing Sequence Listing
  - ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Claim for Priority (Claim to Convention Priority) is made to Japanese Application No. 2002-196599 filed on July 5, 2002.
- ☒ A Certified copy of the Priority Document Nos. 2002-196599 is enclosed herewith.
  - ☐ English translation documents
- ☐ Information Disclosure Statement
  - ☐ Copies of the cited references, including Form PTO-1449
  - ☐ Copy of PTO-1449 filed in parent application serial No. \_\_\_\_\_.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
  - ☒ The amount of \$ 40.00 for recording the Assignment is included in the check for the filing fee.
  - ☐ Assignment papers filed in parent application Serial No. \_\_\_\_\_.
  - ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
  - ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
  - ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.

- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. \_\_\_\_\_  
\_\_\_\_\_ filed \_\_\_\_\_.

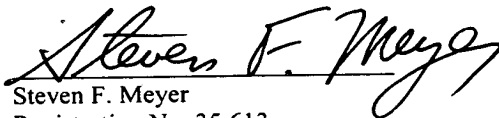
## I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee \$ 750.00
Total Claims	16	-20 =	0	x \$ 18.00	\$ 000.00
Independent Claims	2	-3 =	0	x \$ 84.00	\$ 000.00
Multiple Dependent Claims			Additional Fee =		
	<input type="checkbox"/> yes		Add'l Fee =	\$ 280.00	\$ 000.00
	<input checked="" type="checkbox"/> no			NONE	

Total: \$ 750.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- ☒ A check in the total amount of \$790.00 is attached in payment of the application filing fee and assignment recordation.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5095-4065. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

  
Steven F. Meyer  
Registration No. 35,613

Dated: June 27, 2003

## CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Masaki OTA; Kenji MOCHIZUKI; Hisato KAWAMURA; Yoshinori INOUE and Junichi TAKAHATA  
Serial No.: TBA  
Filed: Herewith  
For: PISTON TYPE COMPRESSOR  
Group Art Unit: TBA  
Examiner: TBA

**EXPRESS MAIL CERTIFICATE**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV357801165US  
Date of Deposit: June 27, 2003

I hereby certify that the following attached paper(s) and/or fee:

1. Utility Application and Application Fee Transmittal;
2. Declaration unexecuted (5 pp.);
3. Claim to Convention Priority;
4. Certified Priority Document 2002-196599;
5. Utility Application: Specification: 17 pp., Claims: 6 pp., Abstract: 1 pg., 4 Sheets of Drawings (Figs. 1-5);
6. Information Disclosure Statement;
7. PTO-Form 1449 w/copies of all cited references;
8. Assignment and Assignment Recordation;
9. A check in the amount of \$790.00 for the filing fee and assignment recordation fee;
10. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ron London

(Typed or printed name of person mailing papers(s) and/or fee)

Ron London  
(Signature of person mailing paper(s) and/or fee)

Correspondence address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile